

# Holding Patterns: Behavioral Health in Oregon 2016

Sharon C. Peters Eric J. Neiman Lewis Brisbois Bisgaard & Smith June 8, 2016

LewisBrisbois.com

# Did you know this about Oregon?

 51/51 for access to mental health services nationally --

– Mental Health America (11/15)

- 46/50 for inpatient psychiatric beds nationally – ACEP (2014)
- 9th highest suicide rate in the country



# Did you know this about Oregon?

- In 4th year of agreement with USDOJ requiring reform of state mental health system
- Police department of Portland under federal court supervision of settlement agreement to reform dealings with mentally ill



# Did you know this about Oregon?

- Approximately 43% of Multnomah County jail population has some form of mental disorder
  - 2015 Report of the Multnomah County Corrections Grand Jury (12/15)
- 50% of state prison population needs mental health treatment

   Legislative Committee Services report (9/12)





July 16th, 2014 NIGEL JAQUISS | News Stories

### All Stacked Up And No Place To Go

Psychiatric patients are being warehoused in Portland's emergency rooms in growing numbers.





Oregon Officials Would Like to Hear Your "Hopes and Concerns" About Weed One option in the survey: Keeping the weed licenses for state residents.

News The Oregon Liquor Control Commission has less than a year to set up the rules for selling recreation... More

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City Commissioner Amanda Fritz Says She'll Vote Against Latest Street Fee Proposal She says City Council should send its plan to voters.

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Strip Club Owner, Target of Federal Prostitution Investigation, Dies From Gunshot Wounds Tommy's Too owner Craig Desmarais was

named as part of a crime ring involving several local bars

News A man who was a target of a federal prostitution and money laundering investigation has died after b... More

# **ED By The Numbers**

 136.3 million emergency department (ED) visits annually

# -CDC, 2011





# **ED More Numbers**

 12 million ED visits for behavioral health

## -per AHRQ, 2011

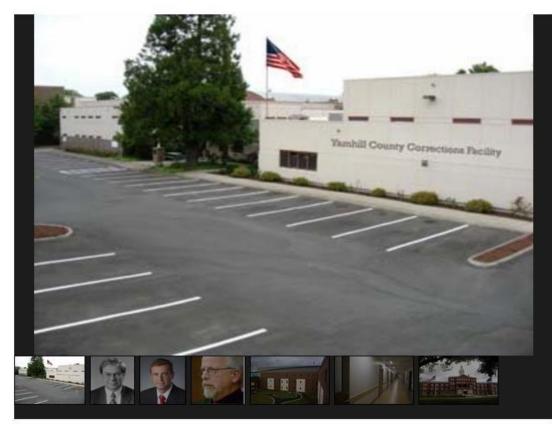




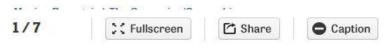




### Woman with mental illness stashed in jail: 'Cruel' ordeal never should have happened



After a Yamhill County judge ordered a woman to be civilly committed because of her mental illness, the woman sat in jail for 10 days, awaiting an appropriate psychiatric bed in the state. Judges, her lawyer and mental health advocates stepped in to try to find a solution.

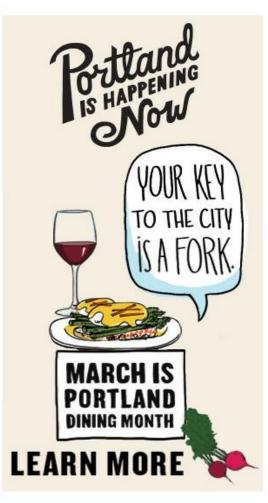




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By Maxine Bernstein | The Oregonian/OregonLive Email the author | Follow on Twitter

on February 20, 2015 at 6:03 PM, updated February 22, 2015 at 12:59 PM



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Local News

Originally published October 5, 2013 at 7:04 PM | Page modified October 8, 2013 at 4:24 PM

First of two parts

## 'Boarding' mentally ill becoming epidemic in state

TIMES WATCHDOG: Far more involuntarily detained patients are stuck in chaotic hospital ERs and ill-equipped medical rooms. They wait days, even months, for treatment. The practice traumatizes thousands of mentally ill residents, wreaks havoc on hospitals, and wastes millions of taxpayer dollars.

By <u>Brian M. Rosenthal</u> Seattle Times staff reporter

Matthew Jones stripped off his clothes, kicked over a trash can and ran into Kirkland's Juanita Reach Park, Howanted to swim across Lake



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#### The Patients in the Hallways



Norwalk Hospital's emergency department. By DAVID HOLMBERG Published: June 10, 2007

#### STONY BROOK, N.Y.

#### In the Region

Long Island, Westchester, Connecticut and New Jersey

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University Hospital.

ON some chaotic days and nights, Dr. Peter Viccellio's system to control boarding — the hospital practice of treating patients in the hallways of overcrowded emergency departments — is still put to the test at Stony Brook



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### **Psychiatric patients wait in ERs as inpatient** beds are scaled back





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Rena Dubin at home during dinner with with her children Mia Dubin, Sophia, husband Barry and son Noah in Columbia, Md. on December 27, 2012. Mia, who is autistic, has been boarded at several emergency rooms this year. (Linda Davidson/The Washington Post)

#### By Olga Khazan January 22, 2013

One day in October, Rena Dubin got a call saying her 15-year-old daughter, Mia who has Asperger's syndrome and an anyiety disorder had a panic





Detroit and Southeast Michigan's premier business news and information website



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Originally Published: November 18, 2013 2:25 PM Modified: November 18, 2013 3:44 PM

#### Psychiatric patients boarded in emergency departments create crisis for patient care, hospital finances

By Modern Healthcare



With the total number of psychiatric inpatient beds plummeting nationally, hospitals are devising innovative ways of handling mentally ill patients who come to the emergency department as an alternative to "boarding" them in holding rooms and hallways while they await treatment.

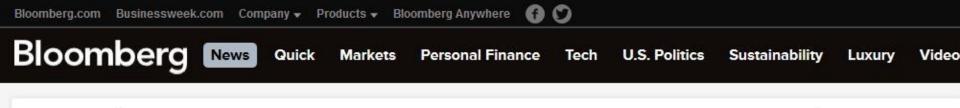
These strategies include collaborating with other hospitals to place psychiatric patients in open beds, using separate psychiatric EDs, setting up crisis triage centers, and referring patients to residential treatment centers. They're striving to get mentally ill people help before they hit a crisis, including arranging appointments with mental health care providers and contacting patients regularly to help with medication compliance. A few health systems, such as HealthOne in Denver, are even adding psych beds, at least partly to reduce ED waiting times.

Health systems recognize that they need to address the psychiatric boarding problem because EDs bring in a lot of paying patients. Delays in serving them because of the boarding of psychiatric patients can hurt revenue.

Hospitals also are hoping that more mentally ill patients will gain insurance coverage for behavioral care through the federal health care reform law and the new federal mental health parity rule. In addition, many



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# South Carolina Psychiatric Patient Stuck 38 Days in ER

By Stephanie Armour | Jul 17, 2013 9:00 PM PT | 19 Comments 🛎 Email 🛱 Print











Anderson, South Carolina, facility scurried to find a hospital with enough room for an admission. Everywhere was full, including a nearby

When a mentally ill patient arrived at

AnMed Health Medical Centers' emergency room in May, staff at the

psychiatric hospital. Unable to find any place that had available beds, the patient spent 13 days languishing in the ER. Such stays are increasingly common at the hospital -- in one case last year a patient was stuck in the ER for 38 days, costing the hospital





#### A Dearth Of Hospital Beds For Patients In Psychiatric Crisis

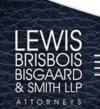
By Jenny Gold | April 12, 2016



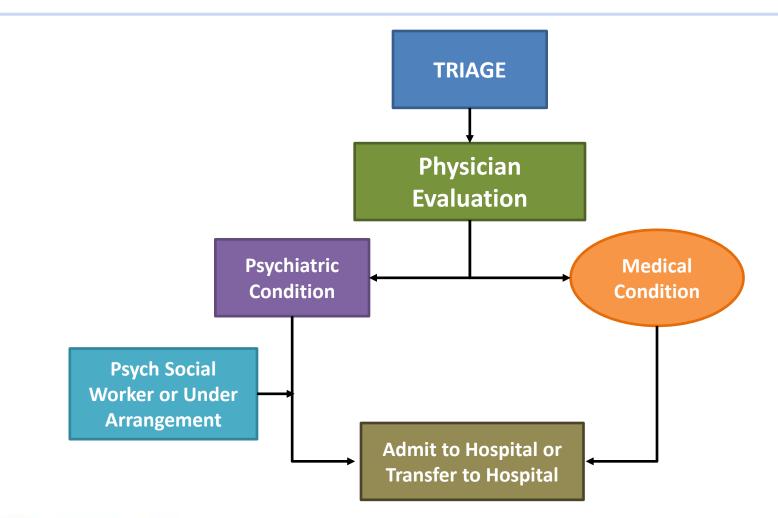
Pam and Amanda Lipp in Fair Oaks, California, in December 2015. (Heidi de Marco/KHN)

# "Psychiatric Boarding"

"[t]he phenomenon of persons with mental disorders remaining in the hospital emergency rooms while waiting for mental health services to become available."



# **Psychiatric And Medical Patients Use The Same Space And Staff**



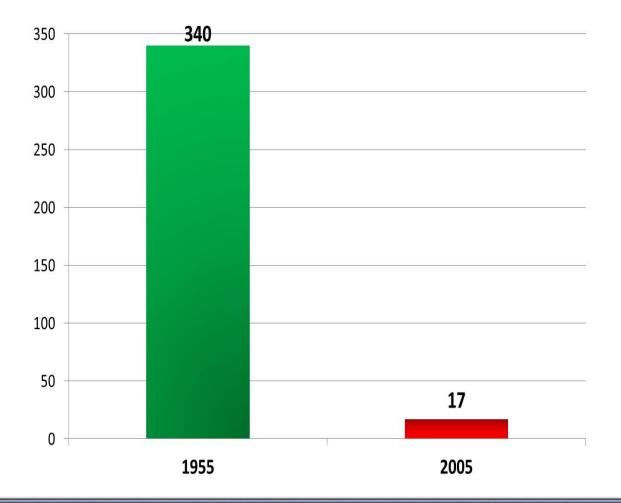
# **Identifying the Problems**

- Long waits
- Lack of access
- Spillover



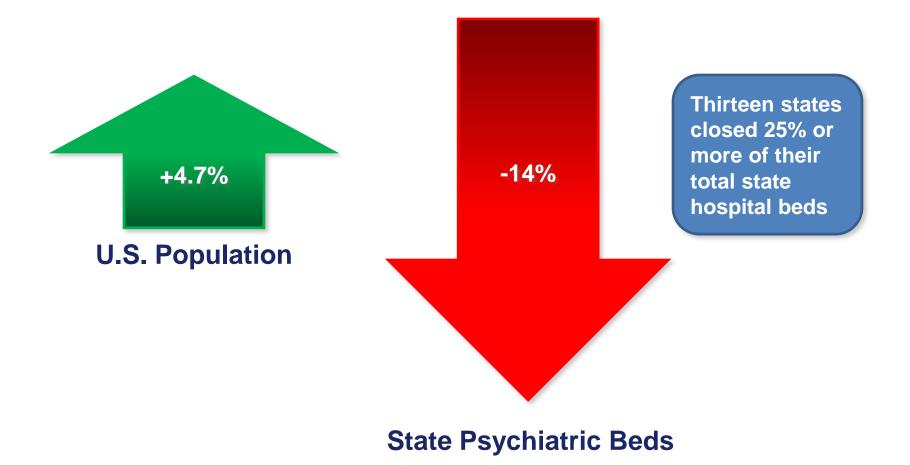


## U.S. Psychiatric Beds per 100,000 1955-2005



18

### Increasing Need / Decreasing Funding United States 2005-2010



## 2009-2012: Mental Health Spending Cuts

• \$4.35 billion

Trend expected to continue

National Association of State Mental Health Program Directors, Congressional briefing, March 22, 2014



# "Psychiatric Boarding"

"...what's happening in California ERs is a public health crisis, and it's happening now."

Chris Van Gorder, president and CEO, Scripps Health, January 11, 2016.



# **Oregon Bed Capacity**

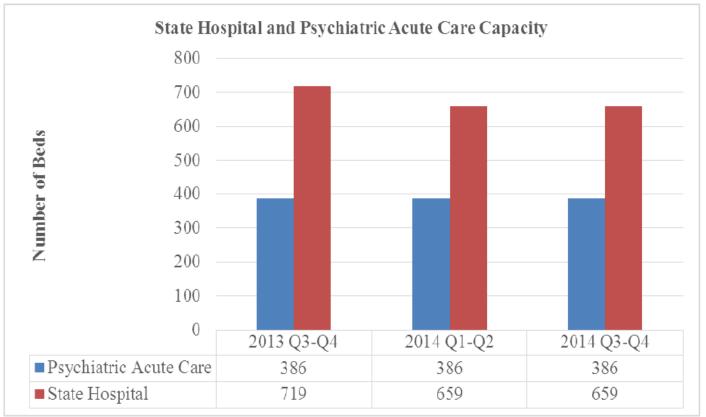


Figure 2(a)

#### OHA report to USDOJ, July 2015



# **EMTALA** impact

- Facility with a dedicated ED must provide adequate medical screening.
- If emergency medical condition exists, then:
  - Stabilizing treatment
  - Appropriate transfer



## **EMTALA**

- Emergency medical condition:
  - Places health of individual in serious jeopardy
  - Serious impairment of bodily functions, or
  - Serious dysfunction of any bodily organ or part (42 USC 1395dd(e)(1)(A))
- CMS confirms that an emergency medical condition includes psychiatric disturbances and symptoms of substance abuse (42 CFR 489.24(b)(1))





"In the case of psychiatric emergencies, an individual expressing suicidal or homicidal thoughts or gestures, if determined dangerous to self or others, would be considered to have an EMC."

EMTALA Interpretive Guidelines, § 489.24(d)(1)(i), Appendix V of State Operations Manual





## Floyd Medical Center, Georgia

- OIG claimed FMC failed to evaluate and treat 32 year old mentally ill patient.
- Transferred from another hospital.
- In ED, became combative and violent. Security was called. Force used to subdue patient.
- Security determined that safety was beyond that which could be handled by the hospital.
- Patient was medically cleared and taken to jail, without a psych consult.



January 6, 2016: \$50,000 settlement



## **Springfield Hospital, Vermont**

- OIG claimed hospital failed to stabilize psych condition of one patient, and failed to adequately screen another.
- Hospital sent both patients to jail.

# September 3, 2014: \$50,000 settlement





## Duke University Health System, North Carolina

- OIG alleged Duke failed to accept five appropriate transfers of individuals with unstable EMC who required the stabilizing specialized capability of Duke's inpatient psychiatric unit, called the Williams Unit.
- Duke refused the transfers because:
  - it only allowed admission during certain business hours (three patients)
  - patient was too aggressive (one patient)
  - the unit did not treat substance abuse and labs did not return yet (one patient)



### September 5, 2012: \$180,000 settlement



## Carolinas Medical Center, North Carolina

- Patient presented to ED with complaints of homicidal ideation and acute depression.
- Feared hurting himself and his wife.
- Patient presented two weeks prior with similar complaints.
- Hospital knew he had access to firearms.
- OIG alleged hospital discharged patient with a prescription for a mild anti-depressant without an adequate medical screening or stabilization.
- Shortly after discharge, patient killed his wife, two children, and himself.



#### December 3, 2013: \$50,000 settlement



#### Charges: Alexandria Man Choked St. Cloud Hospital Employee With Wires

By The Associated Press May 13, 2016 6:23 AM

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William Kern, Steams County Jail

#### Share on Twitter

ST. CLOUD (AP) – An Alexandria man is facing a second-degree assault charge for allegedly attacking and trying to strangle a mental health worker at St. Cloud Hospital with an electrical cord.

Forty-two-year-old **William Chad Kern** is accused of attacking the woman while she was working with him Sunday. Kern had been civilly committed and ordered to complete treatment at the hospital.

The worker says Kern became upset after she wouldn't give him a CPAP breathing device and allegedly ripped a security card reader from the wall.

Authorities say Kern returned to his room with wires from the device, grabbed the worker and began to choke her. Hospital personnel eventually intervened.

A judge has ordered Kern undergo a mental competency evaluation. It's unclear whether he has an attorney.



# CHAOS

# Since October investigation, another 41 assaults in Florida's violent mental hospitals

Part of a series | Dec. 31, 2015 By LEONORA LaPETER ANTON, MICHAEL BRAGA and ANTHONY CORMIER Times/Herald-Tribune Staff

**DESPITE STATE LAWMAKERS' CALLS** for emergency safety measures, violence continues at record rates inside Florida's state-funded mental hospitals.

Since October, when the *Tampa Bay Times* and the *Sarasota Herald-Tribune* first chronicled the growing violence, police and state regulators have investigated at least 41 injuries and attacks.

At one hospital, a patient hid scissors in his room, then used them to stab a hospital employee in the back. In another, a patient tore off a man's eyelid during a fight.

The *Times* and *Herald-Tribune* first revealed the chaos inside Florida's mental wards in October when they published the results of a yearlong investigation, **Insane. Invisible. In danger.** The newspapers found that state officials cut \$100 million from the hospitals' budgets and fired a third of their workforce. Violent attacks and other injuries doubled, leading to at least 15 deaths.



# Assaults on staff at Western State Hospital costing millions

Originally published November 27, 2015 at 9:31 am Updated November 27, 2015 at 6:42 pm

Hundreds of employees at Washington state's largest psychiatric hospital have suffered concussions, fractures, bruises and cuts during assaults by patients, resulting in millions of dollars in medical costs and thousands of missed days of work.

#### By MARTHA BELLISLE

The Associated Press

LAKEWOOD, Pierce County — A psychiatric patient "head-butted" a nurse so hard she fell back and her head was slammed against a door, causing injures that kept her home for three months. An agitated patient knocked a nurse onto a concrete floor, causing injuries that forced him out of work for seven months. A nurse who tried to stop a patient attack was in hurt so seriously that her recovery took more than two years.

Hundreds of employees at Washington state's largest psychiatric hospital have suffered concussions, fractures, bruises and cuts during assaults by patients, resulting in millions of dollars in medical costs and thousands of missed days of work.



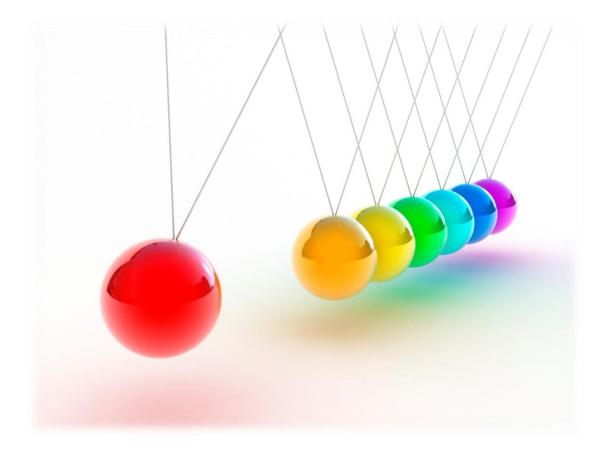
# Kicked, beaten, shoved...

- Workers' compensation claims in Oregon healthcare and social assistance sector increased 78% from 2007-2013.
- In 2014, 275 accepted claims for assault against Oregon healthcare workers.

Many incidents go unreported.



# Inertia



# **United States Department of Justice**

- Title II of Americans with Disabilities Act
- Olmstead v. L.C., 527 US 581 (1999)
- In 2006, DOJ opened investigation of Oregon State Hospital. In 2010, investigation broadened to statewide mental health system.



## **Oregon and DOJ Agreement**

- November 9, 2012 voluntary agreement
- January 2, 2014 USDOJ Interim Report to the State of Oregon:

"Despite the stated commitment to transform to a community-based system, the data provided demonstrates that there has not been an increase in the provision of community mental health services."





**U.S. Department of Justice** 

**Civil Rights Division** 

JMS: JP: JP: LL DJ 168-61-30

Special Litigation Section - PHB 950 Pennsylvania Ave, NW Washington DC 20530

March 11, 2015

#### VIA FIRST CLASS MAIL AND ELECTRONIC MAIL

John Dunbar Markowitz Herbold PC 1211 SW Fifth Avenue, Suite 3000 Portland, OR 97204-3730

> Re: Oregon's Status Resolving the U.S. Department of Justice's Investigation into Oregon's Mental Healthcare System

Dear Mr. Dunbar:

We write in connection with our ongoing negotiations with state officials regarding the U.S. Department of Justice's investigation of Oregon's compliance with the integration mandate of Title II of the Americans with Disabilities Act ("ADA") and *Olmstead v. L.C.*, 527 U.S. 581 (1999), as it applies to adults with mental illness. As anticipated in our November 9, 2012 letter, the Department and the State continue to work cooperatively to resolve our investigation. The

#### **Conclusion**

This is a critical time for the reform effort. While we are encouraged by some of the State's efforts, there are key areas of community-based services where the State needs to increase its efforts to achieve compliance with the ADA's integration mandate. Those investments are both evidence-based and provide the public health system a significant cost savings to institutional care. We urge the State to be ambitious in developing the high-intensity community services and supports that are necessary so that Oregonians with serious and persistent mental illness can live in the most integrated setting appropriate to their needs.

Sincerely,

Sing B. Husars

BILLY J. WILLIAMS Acting United States Attorney District of Oregon

Section Chief Special Litigation Section Civil Rights Division

## **Transformation and Innovation**



## **Reimbursement and parity**

- MHPAEA
- ORS
- ACA and EHBs
- Expanded Medicaid
- Medicaid parity



## Reimbursement



## **Active Marketplace**

### **Behavioral Health and Other Rumblings From JPMorgan 2015**

After conversations with numerous health care private equity funds and lenders at the JPMorgan Healthcare Conference, we can report that the behavioral health sector continues to generate a great deal of buzz. In addition to some of the widely reported multifacility large investments, there is much more interest from funds in serial acquisitions of discrete facilities or operations, say attorneys with McGuireWoods LLP.



## **Active Marketplace**

 "Bullish Behavioral Health Market Drives Investment"





## As emergency rooms fail in treating mental health, systems create new plans, centers



Enloe Medical Center, a 298-bed standalone nonprofit hospital in Chico, California, is the only voluntary acute care mental health program for adults north of Sacramento all the way to the Oregon border. More than 80 percent of emergency room physicians say the mental healthcare systems in their regions are dysfunctional, and do not adequately serve patients, according to a survey done in December by the JoNel Aleccia



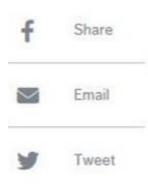
# State's psychiatric care changes still not enough, say some experts

Originally published March 21, 2015 at 6:45 pm | Updated March 22, 2015 at 10:49 am

Nearly three months after the start of a ban on psychiatric boarding in Washington state, critics say the fix is no more than a "Band-Aid," but advocates say it's the most progress they've seen in decades.

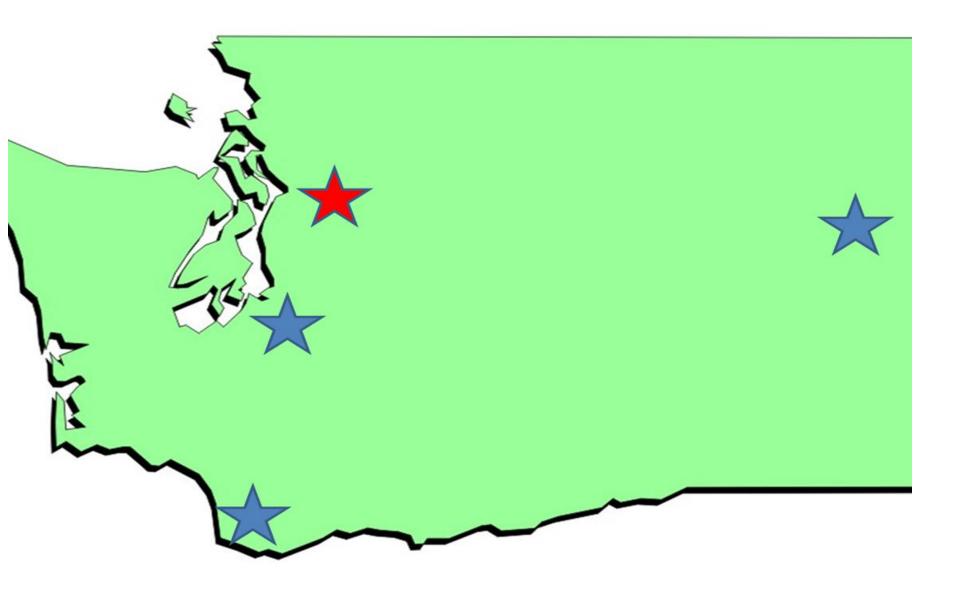


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Nearly three months after the launch of new rules banning the warehousing of mentally ill patients in Washington state and after millions in new spending to boost care, experts in psychiatric evaluation and those on the front lines say the revamped system is off to an uncertain start.

The state Supreme Court ruled last August that it is unconstitutional to detain and hold psychiatric patients in settings such as emergency rooms without providing appropriate treatment. In the wake of that decision, critics charge that some detained patients are receiving only "Band-Aid" mental-health care — while others who should be held are being turned away, even when they might pose

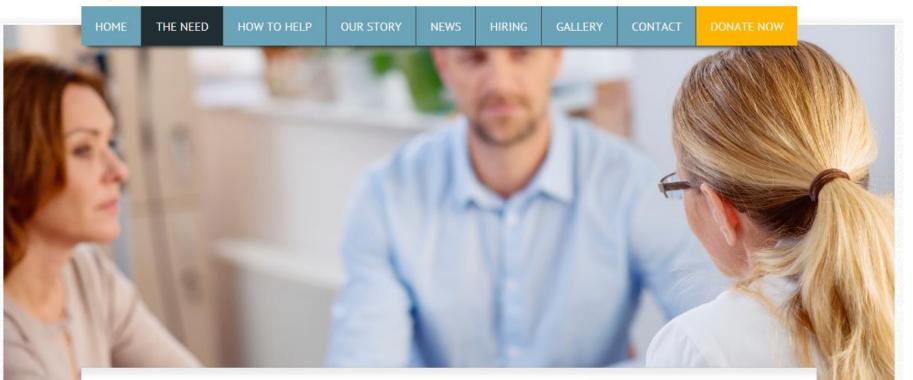


## **Changes in Oregon**

- Unity Center for Behavioral Health
   Alameda Model
- Providence Willamette Falls Child Adolescent Psychiatric Unit
- Providence Milwaukie Geropsych Unit
- Increase in beds at Oregon State Hospital







#### NO PLACE TO GO WHEN YOU ARE IN CRISIS

Our mental health system is broken. This has been documented in numerous studies by experts serving individuals and families affected by mental health issues.

We see it every day in Emergency Departments across the region – behavioral health patients stuck in an Emergency Department exam room waiting hours or days for a more appropriate care setting.

Our physicians and social workers are frustrated in trying to find services for patients who need immediate psychiatric care or are trying to transition back to their home or community.

We need to do better.

#### UNITY UPDATES

#### June 13, 2016

Golfers are invited to join the Unity Center Benefit Golf Tournament. Take a swing.

#### May 2016

We thank the Oregon Community Foundation for supporting the Unity Center. Learn more: OCF Annual Report.

## "A New Day" in Oregon

- January 2014 Medicaid expansion
- Number of adults receiving treatment for mental illness increased 60%

The Atlanta Journal-Constitution, 9/2015



# Is it enough?

Sometimes, even if I stand in the middle of the room, no one acknowledges me.





## **Planning & Action: Approaches**

- Early detection
- Internal teams
- External networks and relationships
- Bed registry



## **Planning & Action: Toolkit**

- Internal behavioral health team
- Key contacts
- Current list of treatment beds
- Model for crisis triage of BH patient
- Forums



Case 2:14-cv-01178-MJP Document	132 Filed 04/02/15 Page 1 of 1
UNITED STATES DISTRICT COURT WESTERN DISTRICT OF WASHINGTON AT SEATTLE	
CASSIE CORDELL TRUEBLOOD, et al.,	JUDGMENT IN A CIVIL CASE
Plaintiffs,	CASE NUMBER: C14-1178 MJP
v. WASHINGTON STATE DEPARTMENT OF SOCIAL AND HEALTH SERVICES, et al.,	

#### THE COURT HAS ORDERED THAT

Defendants are ordered to cease violating the constitutional rights of Plaintiffs and class

members by providing timely competency evaluation and restoration services, and a permanent

injunction is entered by the Court.

injunction is entered by the Court. Dated April 2, 2015. <u>William M. McCool</u> Clerk of Court <u>s/Mary Duett</u> Deputy Clerk

## In the Matter of the Detention of DW

"We affirm the trial judge's ruling that the ITA does not authorize psychiatric boarding as a method to avoid overcrowding certified evaluation and treatment facilities."

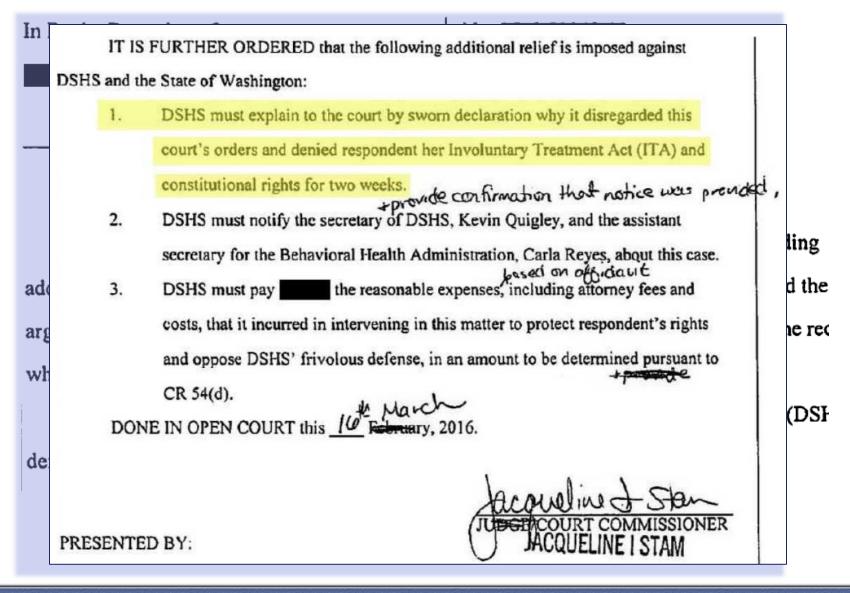
"Patients may not be warehoused without treatment because of lack of funds."

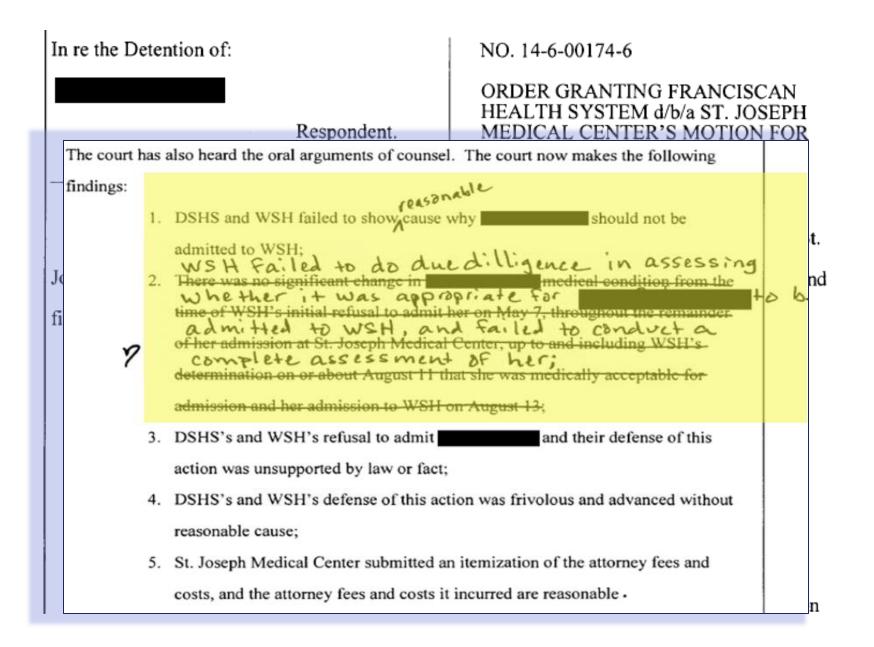


Det. of D.W. v. Dep't of Soc. & Health Servs., 181 Wash. 2d 201, 332 P.3d 423 (2014)

#### IN THE SUPERIOR COURT OF THE STATE OF WASHINGTON

#### IN AND FOR THE COUNTY OF FRANKLIN





LIEURE PROMIT PREUM Bv 5 IN THE SUPERIOR COURT OF WASHINGTON, COUNTY OF PIERCE 6 7 IN RE DETENTION OF G Cause No: ORDER ON CONTEMPT 8 (OR) 9 10 sctore the his matter having nome へでレ 11 house MOTION 12 Considered men the records 0 herein . Now  $\Lambda 0$ 13 14 Mr. war 15 Conter der  $\mathbf{\nabla}$ 16 nett no 17 18 20<u>16</u> DATED this CRAIG ADAMS RT COMMISSIONER 19

(UK) 9 10 sanchans Thereafter the State ic O 11 1500.00 12 to inst he Court resorves on the issues had an 13 as as 14 sanctions should be PM. was intentiona 15 adnit Mr. G Intervener voluntarily withsher the 16 Contempt or Sanctions against Telecare. Motion 17 18 DATED this 8 day of Rue, 2016 CRAIG ADAMS 19 COURT COMMISSIONER COMMISSIONER 20 21 22 965 WSBA# 2621 9 #Petitioner 23 IN OPEN COURT 24

## *"We have replaced the hospital bed with the jail cell, the homeless shelter and the coffin.*

How is that compassionate?"

Tim Murphy-R- PA





- Eric Neiman <u>Eric.Neiman@lewisbrisbois.com</u> 971-712-2802
- Sharon Peters
   <u>Sharon.Peters@lewisbrisbois.com</u>
   971-712-2807

